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**CERTIFICATE OF MAILING**

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Date: October 13, 2004

Name: Melissa Scanzillo

Signature: *Melissa Scanzillo*  
Clifford Chance US LLP

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Docket No. 3499-86

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: **Andrew F. Silverman et al.**

Filed: **October 6, 2000**                      Group Art Unit: 3624

Serial No: **09/680,771**                      Examiner: Jagdish Patel

For: **ORDER CENTRIC TRACKING SYSTEM AND PROTOCOL FOR  
COMMUNICATIONS WITH HANDHELD TRADING UNITS**

**Response to Restriction Requirement**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In response to the Office Action of September 29, 2004, please amend the above-identified patent application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 6 of this paper.